RELATIVE ALGEBRAIC DIFFERENTIAL CHARACTERS

SPENCER BLOCH AND HÉLÈNE ESNAULT

ABSTRACT. Let $f: X \to S$ be a smooth morphism in characteristic zero, and let $(E, \nabla_{X/S})$ be a regular relative connection. We define a cohomology of relative differential characters on X which receives classes of $(E, \nabla_{X/S})$. This is a version in family of the corresponding constructions performed in [9]. It says in particular that the partial vanishing of the trace of the iterated Atiyah classes can be made canonical. When applied to a family of curves and c_2 , the construction yields a connection on $f_*c_2(E) \in \text{Pic}(S)$. Such a connection has been constructed analytically by A. Beilinson ([5]). We also relate our construction to the trace complex as defined in [3].

1. Introduction

Let $f: X \to S$ be a smooth family of curves aver a smooth base S over a field k of characteristic zero, and $\nabla_{X/S}: E \to \Omega^1_{X/S} \otimes E$ be a relative connection. Then the Atiyah class

$$At(E) \in H^1(X, \Omega^1_X \otimes \mathcal{E}nd(E))$$

lies in the image of $H^1(X, f^*\Omega_S^1 \otimes \mathcal{E}nd(E))$, and therefore higher Chern classes in $H^i(X, \Omega_X^i)$ die in $H^i(X, \Omega_X^i/f^*(\Omega_S^i))$. In this situation, when k is the field of complex numbers, A. Beilinson ([5]) uses Deligne-Beilinson analytic cohomology to show that the images $f_*c_2(E)$ and $f_*(c_1(E)^2)$, as analytic bundles, are endowed with canonical holomorphic connections. We show in this note that a careful analysis of splitting principle developed in [9] leads to the existence of functorial classes $c_2((E, \nabla_{X/S}))$ and $c_1((E, \nabla_{X/S}))^2$ in the group $\mathbb{H}^2(X, \mathcal{K}_2 \xrightarrow{d \log} \Omega_S^1 \otimes \Omega_{X/S}^1)$. In other words, the vanishing of the class in $H^2(X, \Omega_X^2/f^*(\Omega_S^2))$ is made canonical. The trace of those classes define then isomorphism classes of line bundles with an algebraic connection in $\mathbb{H}^1(S, \mathcal{O}_X^* \xrightarrow{d \log} \Omega_S^1)$. We show how this example is a particular case of a more general

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theory of relative algebraic differential characters (see precise formulation in in section 2, and in subsequent sections for the construction), which allows higher dimensional smooth morphisms and higher classes as well.

In fact, A. Beilinson does not consider just vector bundles and Chern classes, but rather G-principal bundles under a reductive group G and arbitrary characteristic classes of weight 2. In this note we give two constructions of classes. The first is based on a splitting principle and is valid for vector bundles. The second uses the Weil-algebra homomorphism, as constructed in [4], to define a universal class. The latter should apply to more general G-bundles.

In a final note, we relate our construction to the trace complex as defined in [3].

It is a pleasure to work out A. Beilinson's idea in a more algebraic language, hoping that he'll like this framework. It is also a pleasure to thank him for his generosity.

2. Relative Cohomology

Let $f: X \to S$ be a smooth morphism between smooth varieties over a field k of characteristic 0. Then, for $i \ge 0$, one defines the subcomplex of the de Rham complex

(2.1)
$$\mathcal{F}^{i} = f^{*}\Omega_{S}^{i-\bullet} \wedge \Omega_{X}^{2\bullet}[-\bullet] \xrightarrow{\iota} \Omega_{X}^{\geq i}[i],$$

where $f^*\Omega_S^{i-p} \wedge \Omega_X^{2p} = \Omega_X^{i+p}$ for i-p < 0, and $\mathcal{F}^0 = \Omega_X^{\bullet}$ for i=0. (Note \mathcal{F}^i starts with $f^*\Omega_S^i$ in degree 0.) The basic object of study is the complex

(2.2)
$$\mathcal{A}\mathcal{D}_{X/S}^{i} := \operatorname{cone}\left(\mathcal{K}_{i} \oplus \mathcal{F}^{i} \xrightarrow{d \log \oplus -\iota} \Omega_{X}^{\geq i}[i]\right)[-1],$$

where the Zariski sheaf \mathcal{K}_i is defined to be the image of Milnor K sheaf \mathcal{K}_i^M in its value at the generic point $i_{k(X)*}K_i^M(k(X))$, or equivalently, the kernel of the residue map

$$i_{k(X)*}K_i^M(k(X)) \to \bigoplus_{x \in X^{(1)}} i_{x*}K_{i-1}^M(k(x)).$$

One introduces the following

Definition 2.1. The group of relative algebraic differential characters in degree i is the group

(2.3)
$$AD^{i}(X/S) = \mathbb{H}^{i}(X, \mathcal{AD}_{X/S}^{i}).$$

We first define a product structure, following [2], the way we did in [9], which is compatible to the natural product

(2.4)
$$\Omega_X^{\geq i}[i] \times \Omega_X^{\geq j}[j] \to \Omega_X^{\geq (i+j)}[i+j],$$

the induced product

$$(2.5) \mathcal{F}^i \times \mathcal{F}^j \to \mathcal{F}^{(i+j)},$$

on the sub-complexes, and the K-product

(2.6)
$$\mathcal{K}_i \times \mathcal{K}_j \to \mathcal{K}_{(i+j)}$$
.

Definition 2.2. Let $\alpha \in \mathbb{R}$. We define

$$\mathcal{AD}^{i}_{X/S} imes \mathcal{AD}^{j}_{X/S} o \mathcal{AD}^{i+j}_{X/S}$$

by

$$x \cup_{\alpha} y = \{x, y\}$$

$$= 0$$

$$= (1 - \alpha)d \log x \wedge y$$

$$= 0$$

$$= (x, y) \in \mathcal{K}_{i}, y \in \mathcal{K}_{i}$$

$$= (x \in \mathcal{K}_{i}, y \in \mathcal{K}_{i})$$

$$= 0$$

$$= (x \in \mathcal{K}_{i}, y \in \mathcal{K}_{i})$$

$$= (x \in \mathcal{K}_{i}, y \in \Omega_{X}^{\geq j}[j]$$

$$= (x \in \mathcal{F}_{i}, y \in \mathcal{K}_{i})$$

$$= (x \in \mathcal{F}_{i}, y \in \mathcal{F}_{i})$$

$$= (x \in \mathcal{F}_{i}, y \in \mathcal{K}_{i})$$

$$= (x \in \mathcal{F}_{i}, y \in \mathcal{F}_{i})$$

$$= (x \in \mathcal{F}_{i}, y \in \mathcal{F$$

Proposition 2.3. a) For every $\alpha \in \mathbb{R}$, the formulae of definition 2.2 define a product, compatible with the products (2.4), (2.5), (2.6), with the graded commutativity rule

$$x \cup_{\alpha} y = (-1)^{\deg x \cdot \deg y} y \cup_{(1-\alpha)} x.$$

b) For all $\alpha, \beta \in \mathbb{R}$, the products \cup_{α} and \cup_{β} are homotopic, so, in particular, the product on $AD^{i}(X/S)$ is commutative.

Proof. One has to show

(2.7)
$$\delta(x \cup_{\alpha} y) = \delta(x) \cup_{\alpha} y + (-1)^{\deg x} x \cup_{\alpha} \delta(y).$$

Even if the verification is a bit lengthy, we insert it here, for sake of completeness. We have a complex X, sum of two complexes $X = X_1 \oplus X_2$, with maps $u_i : X_i \to Y$. We denote by $\varphi = u_1 - u_2 : X \to Y$. Then we define $\operatorname{Cone}(\varphi) = X[1] \oplus Y$, with differential $\delta(x, y) = (-d(x), \varphi(x) + d(y))$, and $\delta[-1]$ on $\operatorname{Cone}(\varphi)[-1] = X \oplus Y[-1]$ given by $\delta[-1] = -\delta$, that is concretely $\delta[-1](x, y) = (d(x), -\varphi(x) - d(y))$.

Now take local sections $a_i \in \mathcal{K}_i, f_i \in \mathcal{F}^i, \omega_i \in \Omega^{\geq i}[i]$ and similarly for i replaced by j. So here, u_1 is $d \log$ whereas u_2 is the natural

embedding. In order to simplify the notation, we omit the α from the notations (but not from the computations).

One has

(2.8)
$$\delta(a_i \cup a_j) = -u_1(a_i \cup a_j) = -d \log a_i \wedge d \log a_j$$
$$\delta(a_i) \cup a_j = -\alpha d \log a_i \wedge d \log a_j$$
$$a_i \cup \delta(a_i) = (1 - \alpha) d \log a_i \wedge (-d \log a_i)$$

thus (2.7) is satisfied.

One has

(2.9)
$$a_i \cup f_j = 0$$
$$\delta(a_i) \cup f_j = -(1 - \alpha)d \log a_i \wedge f_j$$
$$\delta(f_j) = (df_j, u_2(f_j) = f_j)$$
$$a_i \cup df_j = 0$$
$$a_i \cup u_2(f_i) = (1 - \alpha)d \log a_i \wedge f_i$$

thus (2.7) is satisfied.

One has

(2.10)
$$\delta(a_i \cup \omega_j) = \delta((1 - \alpha)d \log a_i \wedge \omega_j)$$
$$= -(1 - \alpha)d(d \log a_i \wedge \omega_j) = (1 - \alpha)d \log a_1 \wedge d\omega_j$$
$$\delta(a_i) \cup \omega_j = 0$$
$$a_i \cup \delta(\omega_j) = (a_i, -d\omega_j) = (1 - \alpha)d \log a_i \wedge (-d\omega_j)$$

thus (2.7) is satisfied.

One has

$$(2.11) f_i \cup a_j = 0$$

$$\delta(f_i) = (df_i, u_2(f_i))$$

$$df_i \cup a_j = 0$$

$$u_2(f_i) \cup a_j = \alpha f_i \cup d \log a_j$$

$$f_i \cup \delta(a_j) = f_i \cup (-d \log a_j) = (-1)^{\deg(f_i)} \alpha f_i \wedge (-d \log a_j)$$

thus (2.7) is satisfied.

One has

$$(2.12) \qquad \delta(f_i \cup f_j) = \delta(f_i \wedge f_j) =$$

$$(df_i \wedge f_j + (-1)^{\deg(f_i)} f_i \wedge df_j, u_2(f_i \wedge f_j)) \in (\mathcal{F}^{i+j+1}, \Omega_X^{\geq (i+j)})$$

$$\delta(f_i) \cup f_j = df_i \cup f_j + u_2(f_i) \cup f_j = (df_i \wedge f_j, (1-\alpha)f_i \wedge f_j)$$

$$f_i \cup \delta(f_j) = f_i \cup df_j + f_i \cup u_2(f_j) = (f_i \wedge df_j, (-1)^{\deg(f_i)} \alpha f_i \wedge f_j)$$
thus (2.7) is satisfied.

One has

$$(2.13) \quad \delta(f_i \cup \omega_j) = \delta((-1)^{\deg(f_i)} \alpha f_i \wedge \omega_j) = -d((-1)^{\deg(f_i)} \alpha f_i \wedge \omega_j)$$

$$\delta(f_i) \cup \omega_j = df_i \cup \omega_j + u_2(f_i) \cup \omega_j = (-1)^{\deg(df_i)} \alpha df_i \wedge \omega_j + 0$$

$$f_i \cup \delta(\omega_j) = f_i \cup d\omega_j = (-1)^{\deg(f_i)} \alpha f_i \wedge (-d\omega_j)$$

thus (2.7) is satisfied.

One has

(2.14)
$$\delta(\omega_i \cup a_j) = \delta(\alpha \omega_i \wedge d \log a_j) = -d(\alpha \omega_i \wedge d \log a_j)$$
$$\delta(\omega_i) \cup a_j = -d(\omega_i) \cup a_j = -\alpha d(\omega_i) \cup d \log a_j$$
$$\omega_i \cup \delta(a_j) = \omega_i \cup (-d \log a_j) = 0$$

thus (2.7) is satisfied.

One has

(2.15)
$$\delta(\omega_i \cup f_j) = \delta((1 - \alpha)\omega_i \wedge f_j)) = -d((1 - \alpha)\omega_i \wedge f_j))$$
$$\delta(\omega_i) \cup f_j = -d\omega_i \cup f_j = -(1 - \alpha)d\omega_i \wedge f_j$$
$$\omega_i \cup \delta(f_i) = \omega_i \cup (df_i, u_2(f_i)) = (1 - \alpha)\omega_i \wedge df_i.$$

The degree of ω_i in $\operatorname{Cone}(\varphi)[-1]$ equals the degree of ω_i in the complex $\Omega_X^{\geq i}$ plus 1. Thus (2.7) is fulfilled.

One has

$$\omega_i \cup \omega_j = 0 = \delta(\omega_i) \cup \omega_j = \omega_i \cup \delta(\omega_j) = 0$$

thus (2.7) is satisfied.

The homotopy is defined as follows

$$h(x \otimes y) = (-1)^m (\alpha - \beta) x \wedge y \qquad x \in \left(\Omega_X^{\geq i}[i]\right)^{m-1}, y \in \Omega_X^{\geq j}[j]$$

= 0 otherwise.

3. Splitting Principle

Let $f: X \to S$ be a smooth proper morphism between smooth varieties, and let $\nabla_{X/S}: E \to \Omega^1_{X/S} \otimes E$ be a relative connection on a vectorbundle of rank r. Let $\pi: \mathbb{P} := \mathbb{P}(E) \to X$ be the projective bundle associated to E. The relative connection $\nabla_{X/S}$ defines a splitting

(3.1)
$$\tau: \Omega^1_{\mathbb{P}/S} \to \pi^* \Omega^1_{X/S}$$

of the exact sequence

$$(3.2) 0 \to \pi^* \Omega^1_{X/S} \xrightarrow{\iota/S} \Omega^1_{\mathbb{P}/S} \xrightarrow{p/S} \Omega^1_{\mathbb{P}/X} \to 0,$$

such that $\tau \circ \pi^* \nabla_{X/S}$ stabilizes the tautological sequence

$$(3.3) 0 \to \Omega^1_{\mathbb{P}/X}(1) \to \pi^* E \to \mathcal{O}_{\mathbb{P}}(1) \to 0$$

(see [8], [6], [9]). Let i and p be defined by the exact sequence

$$(3.4) 0 \to \pi^* \Omega_X^1 \xrightarrow{i} \Omega_{\mathbb{P}}^1 \xrightarrow{p} \Omega_{\mathbb{P}/X}^1 \to 0,$$

and $q:\Omega^1_{\mathbb{P}}\to\Omega^1_{\mathbb{P}/S}$ be the projection.

Definition 3.1. We define the sheaf

(3.5)
$$\Omega := \operatorname{Ker} \left(\Omega_{\mathbb{P}}^{1} \xrightarrow{\tau \circ q} \pi^{*} \Omega_{X/S}^{1} \right)$$

From the definition, one has an exact sequence

$$(3.6) 0 \to \pi^* f^* \Omega^1_S \to \Omega \to \Omega^1_{\mathbb{P}/X} \to 0$$

Lemma 3.2. The extension class

$$[\Omega] \in H^1(\mathbb{P}, T_{\mathbb{P}/X} \otimes \pi^* f^* \Omega^1_S) = H^1(X, \mathcal{E}nd^0(E) \otimes \Omega^1_S)$$

given by (3.6) is the trace free part of the lifting of the Atiyah class of E in $H^1(X, \mathcal{E}nd^0(E) \otimes \Omega^1_X)$ defined by the choice of $\nabla_{X/S}$.

Proof. The Atiyah sequence can be written

$$(3.7) 0 \to \mathcal{E}nd(E) \to F \to T_X^1 \to 0.$$

Here F is interpreted as infinitesimal symmetries of the bundle E. A connection $\nabla_{X/S}$ relative to S gives rise to an action of $T^1_{X/S}$, i.e. a lifting $\rho: T^1_{X/S} \to F$ of the inclusion $T^1_{X/S} \subset T^1_X$.

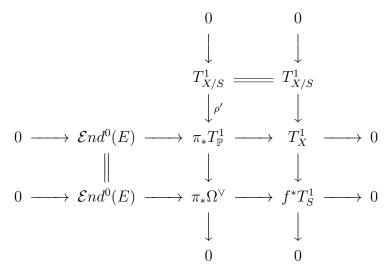
The corresponding sequence for infinitesimal symmetries of the projective bundle $\mathbb{P} = \mathbb{P}(E)$ looks like

$$0 \to \mathcal{E}nd^0(E) \to \pi_*T^1_{\mathbb{P}} \to T^1_X \to 0$$

Since symmetries of the vector bundle give rise to symmetries of the projective bundle, we get a diagram $(\mathcal{E}nd^0(E) = \mathcal{E}nd(E)/k \cdot id)$

In particular, the bottom line represents the pushout of the Aityah extension to the tracefree endomorphisms. On the other hand the composite of the vertical arrows gives rise by adjunction to a map

 $\pi^*T^1_{X/S} \to T^1_{\mathbb{P}}$ which is dual to τ in (3.1). It follows that the reduction of structure of the tracefree Atiyah class defined by the connection $\nabla_{X/S}$ is given by the bottom line in the diagram



Given the relation between the map labelled ρ' above and the map τ in (3.1), it is straightforward to check that this bottom line is obtained from (3.6) by dualizing and pushing forward.

We now construct a τ version of the \mathcal{AD} complex. Certainly, one has

$$(3.8) d(\wedge^{i}\Omega) \subset \wedge^{i-1}\Omega \wedge \Omega^{2}_{\mathbb{P}}.$$

Definition 3.3. Formally replacing $f^*\Omega^i_S$ by $\wedge^i\Omega$ and $\Omega^{\geq i}_X$ by $\Omega^{\geq i}_{\mathbb{P}}$ in the definition 2.1, we define the subcomplex

(3.9)
$$\mathcal{F}_{\tau}^{i} = \wedge^{i-\bullet} \Omega \wedge \Omega_{\mathbb{P}}^{2\bullet}[-\bullet] \xrightarrow{\iota} \Omega_{\mathbb{P}}^{\geq i}[i]$$

of the de Rham complex. Note $\mathcal{F}_{\tau}^{0} = \Omega_{\mathbb{P}}^{\bullet}$ and, in degree 0, $(\mathcal{F}_{\tau}^{i})^{0} = \wedge^{i}\Omega$.

This allows one to define

Definition 3.4.

$$\mathcal{AD}_{\tau}^{i} = \operatorname{cone}\left(\mathcal{K}_{i} \oplus \mathcal{F}_{\tau}^{i} \xrightarrow{d \log \oplus -\iota} \Omega_{\mathbb{P}}^{\geq i}[i]\right)[-1],$$

and

$$AD^i_{\tau}(\mathbb{P}) = \mathbb{H}^i(\mathbb{P}, \mathcal{AD}^i_{\tau}).$$

Then one defines a product formally as in definitiom 2.2, replacing $\mathcal{AD}_{X/S}^{i}$ by \mathcal{AD}_{τ}^{i} . To be precise:

Definition 3.5. Let $\alpha \in \mathbb{R}$. We define

$$\mathcal{AD}_{ au}^{i} imes \mathcal{AD}_{ au}^{j} o \mathcal{AD}_{ au}^{i+j}$$

by

$$x \cup_{\alpha} y = \{x, y\}$$

$$= 0$$

$$= (1 - \alpha)d \log x \wedge y$$

$$= 0$$

$$= x \wedge y$$

$$= (-1)^{\deg x} \alpha x \wedge y$$

$$= (1 - \alpha)x \wedge y$$

$$= (1 -$$

Of course, proposition 2.3 holds true as well, replacing AD(X/S) by $AD_{\tau}(\mathbb{P})$.

Definition 3.6. We denote by ξ the class of the induced partial connection

$$(\mathcal{O}(1)_{\mathbb{P}}, \tau \circ \nabla_{X/S}) \in$$

$$AD_{\tau}^{1}(\mathbb{P}) = \mathbb{H}^{1}(\mathbb{P}, \mathcal{K}_{1} \xrightarrow{\tau \circ q \circ d \log} \pi^{*}\Omega_{X/S}^{1}),$$

and by $[\xi]$ its image in $\mathbb{H}^1(\mathbb{P}, \mathcal{F}^1_{\tau})$ induced by the connecting morphism of the exact sequence

$$0 \to \mathcal{F}_{\tau}^{1}[-1] \to \left(\mathcal{K}_{1} \xrightarrow{d \log} \Omega_{\mathbb{P}}^{1} \xrightarrow{d} \Omega_{\mathbb{P}}^{2} \to \dots\right) \to \mathcal{A}\mathcal{D}_{\tau}^{1} \to 0.$$

Theorem 3.7. The product

$$AD_{\tau}^{i}(\mathbb{P}) \cup \xi^{\cup j} \in AD_{\tau}^{i+j}(\mathbb{P})$$

induces an isomorphism

$$AD_{\tau}^{r}(\mathbb{P}) = AD^{r}(X/S) \oplus AD^{r-1}(X/S) \cup \xi \oplus \ldots \oplus AD^{1}(X/S) \cup \xi^{\cup (r-1)}.$$

Proof. From the exact sequence

$$\dots \to \mathbb{H}^{n-1}(\mathbb{P}, \Omega_{\mathbb{P}}^{\geq i}[i]) \to \mathbb{H}^{n}(\mathbb{P}, \mathcal{AD}_{\tau}^{i}) \to H^{n}(\mathbb{P}, \mathcal{K}_{i}) \oplus \mathbb{H}^{n}(\mathbb{P}, \mathcal{F}_{\tau}^{i}) \to \dots,$$

the splitting principle on $H^n(\mathbb{P}, \mathcal{K}_i)$ and $\mathbb{H}^{n-1}(\mathbb{P}, \Omega^{\geq i}_{\mathbb{P}}[i])$, and the compatibility of the products, one just has to see that

$$\mathbb{H}^{\ell}(\mathbb{P}, \mathcal{F}_{\tau}^{i}) = \mathbb{H}^{\ell}(X, \mathcal{F}^{i}) \oplus \mathbb{H}^{\ell-1}(X, \mathcal{F}^{i-1}) \cup [\xi] \oplus \dots H^{0}(X, \mathcal{F}^{i-\ell}) \cup [\xi]^{\cup \ell}$$

for $\ell = i, i - 1$.

To this end, we will define a quasi-isomorphism

(3.12)
$$\bigoplus_{j} \mathcal{F}^{n-j}[-j] \to \mathbb{R}\pi_*(\mathcal{F}_{\tau}^n).$$

Definition 3.8. a) We write the term in degree q in \mathcal{F}_{τ}^{n} as $\wedge^{n-q}\Omega$.

b) The exact sequence (3.6) defines a decreasing filtration on the exterior powers of Ω , with $fil^j \wedge^p \Omega$ generated by wedges with at least j entries in $\pi^*f^*\Omega^1_S$.

Lemma 3.9.
$$fil^{p-j}\Omega_X^{p+q-j} \stackrel{\cong}{\to} R^j\pi_*(\wedge^p\Omega \cdot \Omega_{\mathbb{P}}^q).$$

proof of lemma. By projecting $[\xi]$ we find a canonical element $\theta \in H^0(X, R^1\pi_*(\Omega))$. Taking powers gives $\theta^j \in H^0(X, R^j\pi_*(\wedge^j\Omega))$. Since $\pi^*f^*\Omega_S^p \subset \wedge^p\Omega$, multiplication by θ^j gives a map as in the statement of the lemma. To see this map is an isomorphism, we argue by induction on q. Consider the diagram

$$\begin{split} R^{j-1}\pi_*(\wedge^p\Omega)\otimes\Omega^q_{X/S} &\stackrel{a}{\to} R^j\pi_*(\wedge^{p+1}\Omega\cdot\Omega^{q-1}_{\mathbb{P}}) \to R^j\pi_*(\wedge^p\Omega\cdot\Omega^q_{\mathbb{P}})) \stackrel{c}{\to} R^j\pi_*(\wedge^p\Omega)\otimes\Omega^q_{X/S} \\ &\cong \Big \lceil b & \cup_{\theta^j} \Big \rceil & \cong \Big \rceil d \\ 0 & \to & fil^{p+1-j}\Omega^{p+q-j}_X & \to & fil^{p-j}\Omega^{p+q-j}_X & \to & f^*\Omega^{p-j}_S\otimes\Omega^q_{X/S} \to 0 \end{split}$$

where the top row comes from the short exact sequence of sheaves

$$0 \to \wedge^{p+1}\Omega \cdot \Omega_{\mathbb{P}}^{q-1} \to \wedge^p\Omega \cdot \Omega_{\mathbb{P}}^q \to \wedge^p\Omega \otimes \Omega_{X/S}^q \to 0.$$

Suppose for a moment we know the lemma is true when q=0. It follows that the maps d in the above diagram are isomorphisms in all degrees. It follows that the maps c are surjective and the maps a are zero. By induction the maps b are isomorphisms for all j, so it follows that the middle vertical arrow is an isomorphism as desired.

It remains to prove the lemma when q=0. One proves by descending induction on j that

$$R^k \pi_*(fil^j \wedge^p \Omega) \cong \begin{cases} 0 & k > p - j \\ f^* \Omega_S^{p-k} & k \le p - j. \end{cases}$$

We have $fil^p \wedge^p \Omega = f^*\Omega_S^p$, so the assertion is clear when j = p. The induction step comes from consideration of the long exact sequence

$$R^{k-1}\pi_*(\Omega^{p-j}_{\mathbb{P}/X}) \otimes f^*\Omega^j_S \to R^k\pi_*(fil^{j+1} \wedge^p \Omega) \to R^k\pi_*(fil^j \wedge^p \Omega) \to$$
$$\to R^k\pi_*(\Omega^{p-j}_{\mathbb{P}/X}) \otimes f^*\Omega^j_S \to R^{k+1}\pi_*(fil^{j+1} \wedge^p \Omega),$$

noting that $R^a \pi_* \Omega^b_{\mathbb{P}/X} \cong \mathcal{O}_X$ when a = b and is zero otherwise. Details are omitted.

To finish the proof of theorem (3.7), it suffices to note that the maps in the lemma are compatible with the de Rham differentials, so we get quasi-isomorphisms in the derived category, which gives (3.12). The desired decomposition (3.11) follows by taking cohomology on X.

Definition 3.10. The decomposition of theorem 3.7 allows us to defines classes $c_i(E, \nabla_{X/S}) \in AD^i(X/S)$ in the usual way as the coefficients of the equation

$$(\xi)^{\cup r} = \sum_{i=0}^{r-1} (-1)^{(i-1)} c_{r-i}(E, \nabla_{X/S}) \cup (\xi)^{\cup i}.$$

Theorem 3.11. Let

$$0 \to (E'', \nabla''_{X/S}) \to (E, \nabla_{X/S}) \to (E', \nabla'_{X/S}) \to 0$$

be an exact sequence of relative connections. Then one has a Whitney product formula

(3.13)
$$c_n(E, \nabla_{X/S}) = \sum_{i=0}^n c_i(E', \nabla'_{X/S})) \cup c_{n-i}((E'', \nabla''_{X/S}))$$

Proof. Let $\mathbb{P}' = \mathbb{P}(E') \stackrel{i}{\hookrightarrow} \mathbb{P} = \mathbb{P}(E)$, and let $U := \mathbb{P} - \mathbb{P}' \stackrel{j}{\hookrightarrow} \mathbb{P}$. Let $\pi' : \mathbb{P}' \to X$ and $p : U \to \mathbb{P}'' = \mathbb{P}(E'')$ be the natural maps.

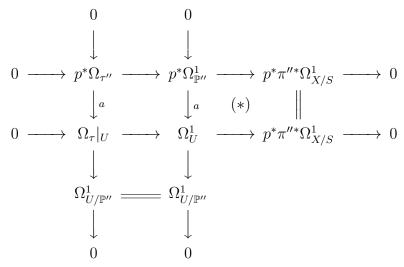
Lemma 3.12. Define $AD_{\tau}(U)$ by restricting the cone of complexes of sheaves used to define $AD_{\tau}(\mathbb{P})$ to U. One has a pullback map

$$p^*: AD_{\tau''}(\mathbb{P}'') \to AD_{\tau}(U),$$

and $p^*(\xi'') = j^*(\xi)$. Finally, in degree r'' = rank(E'') we have

$$\ker\left(j^*:AD_{\tau}^{r''}(\mathbb{P})\to AD_{\tau}^{r''}(U)\right)\cong\mathbb{Z}.$$

 $proof\ of\ lemma.$ Compatibility of the connections on E and E'' leads to a commutative diagram of sheaves on U



Here of course we use the notation Ω_{τ} , $\Omega_{\tau''}$ etc to indicate in which projective bundle we consider the construction 3.5 of Ω . Exterior powers of the maps labelled a enable one to define

$$p^*: AD_{\tau''}(\mathbb{P}'') \to AD_{\tau}(U).$$

Commutativity of the square labelled (*) above implies, using definition (3.6) that $p^*(\xi'') = j^*(\xi)$.

Finally, regarding the kernel of j^* in degree r'', we show here that it is a subgroup of \mathbb{Z} . Once we describe the Gysin homomorphism below, it will be clear this kernel is nonzero. Since $\mathbb{P}' \subset \mathbb{P}$ has codimension r'', purity results for local cohomology imply

$$\mathbb{H}_{\mathbb{P}'}^{r''}(\mathbb{P}, \mathcal{AD}^{r''}) \hookrightarrow \ker(\mathbb{H}_{\mathbb{P}'}^{r''}(\mathbb{P}, \mathcal{K}_{r''} \oplus \wedge^{r''}\Omega_{\tau}) \to H_{\mathbb{P}'}^{r''}(\mathbb{P}, \Omega_{\mathbb{P}}^{r''})).$$

Further we have

$$H^{r''}_{\mathbb{P}'}(\mathbb{P}, \mathcal{K}_{r''}) \cong \mathbb{Z}; \quad H^{r''}_{\mathbb{P}'}(\mathbb{P}, \wedge^{r''}\Omega_{\tau}) \hookrightarrow H^{r''}_{\mathbb{P}'}(\mathbb{P}, \Omega^{r''}_{\mathbb{P}}).$$

It follows that the local cohomology of \mathcal{AD}_{τ} , which maps onto the kernel of j^* , is a subgroup of \mathbb{Z} as claimed.

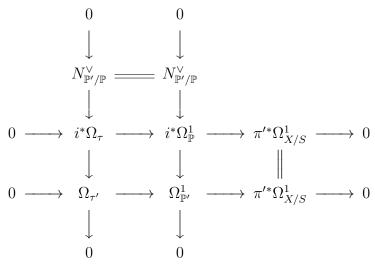
Lemma 3.13. Let $i : \mathbb{P}' \hookrightarrow \mathbb{P}$ be the inclusion. There is defined a Gysin map

$$i_*: AD^k_{\tau'}(\mathbb{P}') \to AD^{k+r''}_{\tau}(\mathbb{P}).$$

The image $i_*(AD^0_{\tau'}(\mathbb{P}')) = \mathbb{Z}$ and 1 maps to the cycle class of $\mathbb{P}^{r'}$ in $CH^{r''}(\mathbb{P})$. There is a projection formula

$$i_*(x \cdot i^*y) = i_*(x)y.$$

Proof. We have a commutative diagram



The left column leads to maps

$$\det(N_{\mathbb{P}'/\mathbb{P}}^{\vee}) \otimes \wedge^{p} \Omega_{\tau'} \to i^{*} \wedge^{p+r''} \Omega_{\tau}.$$

On the other hand, Grothendieck duality theory, [12], gives

$$\det(N_{\mathbb{P}'/\mathbb{P}}) \otimes i^* \wedge^{p+r''} \Omega_{\tau} \cong \mathcal{E}xt_{\mathcal{O}_{\mathbb{P}}}^{r''}(\mathcal{O}_{\mathbb{P}'}, \wedge^{p+r''}\Omega_{\tau}) \to \mathcal{H}_{\mathbb{P}'}^{r''}(\mathbb{P}, \wedge^{p+r''}\Omega_{\tau}),$$

where $\mathcal{H}_{\mathbb{P}'}$ is the Zariski local cohomology sheaf. Composing these arrows, we get

$$\wedge^p \Omega_{\tau'} \to \mathcal{H}^{r''}_{\mathbb{P}'}(\mathbb{P}, \wedge^{p+r''} \Omega_{\tau}).$$

An elaboration on this construction, using the middle column of the previous commutative diagram and the analogous map on K-sheaves

$$\mathcal{K}_{p,\mathbb{P}'} o \mathcal{H}^{r''}_{\mathbb{P}'}(\mathcal{K}_{p+r'',\mathbb{P}})$$

gives a map of complexes

$$\mathcal{AD}^p_{\mathbb{P}'} o \mathcal{H}^{r''}_{\mathbb{P}'}(\mathcal{AD}^{p+r''}).$$

Finally, using purity, we find

$$AD_{\tau'}^{p}(\mathbb{P}') = \mathbb{H}^{p}(\mathbb{P}', \mathcal{AD}_{\tau'}^{p}) \to H^{p}(\mathbb{P}', \mathcal{H}_{\mathbb{P}'}^{r''}(\mathcal{AD}_{\tau}^{p+r''})) \to \\ \mathbb{H}_{\mathbb{P}'}^{p+r''}(\mathbb{P}, \mathcal{AD}_{\tau}^{p+r''}) \to \mathbb{H}^{p+r''}(\mathbb{P}, \mathcal{AD}_{\tau}^{p+r''}) = AD_{\tau}^{p+r''}(\mathbb{P}).$$

In the special case p = 0, we find

$$\mathbb{Z} = AD^{0}(\mathbb{P}') \to \mathbb{H}^{r''}_{\mathbb{P}'}(\mathbb{P}, \mathcal{AD}^{r''}_{\tau}) \to AD^{r''}_{\tau}(\mathbb{P}).$$

Composing with the map $AD_{\tau}^{r''}(\mathbb{P}) \to CH^{r''}(\mathbb{P})$, we see that the above map is injective, and in fact is an isomorphism, taking 1 to the class of \mathbb{P}' .

Finally, the projection formula is a straightforward consequence of the multiplication on the complex \mathcal{AD}_{τ} , which makes $AD_{\tau'}(\mathbb{P}')$ an $AD_{\tau}(\mathbb{P})$ -module.

The proof of the Whitney formula (3.13) is now straightforward. Write

$$F'(\xi) = \sum_{i} (-1)^{r'-i} c_{r'-i}(E', \nabla') \xi^i, \ F''(\xi) = \sum_{i} (-1)^{r''-i} c_{r''-i}(E'', \nabla'') \xi^i$$

for the polynomials associated to E' and E''. We know that

$$j^*F''(\xi) = F''(\xi'') = 0,$$

so necessarily $F''(\xi) = i_*(1)$. Also, $F'(\xi') = 0$, so

$$0 = i_*(F'(\xi')) = i_*(1)F'(\xi) = F''(\xi)F'(\xi).$$

Since $F(\xi) = \sum (-1)^{r'+r''-i} c_{r'+r''-i}(E, \nabla) \xi^i$ is the unique monic polynomial in ξ of degree r' + r'' vanishing in $AD_{\tau}(\mathbb{P})$, we conclude F = F'F''.

Corollary 3.14. Let $Y \subset X$ be a fiber of f. The classes $c_i(E, \nabla_{X/S}) \in AD^i(X/S)$ specialize to the classes $c_i(E|_Y, \nabla_{X/S}|_Y) \in AD^i(Y)$ defined in [9].

Let d be the relative dimension of the morphism f. The existence for n-a < d of a trace or transfer map

$$\mathbb{R}f_*\Big(\Omega_X^n/f^*\Omega_S^a\cdot\Omega_X^{n-a}\Big)\to\Omega_S^{n-d}[-d]$$

leads to a transfer map

(3.14)

$$f_*: AD^n(X/S) \to \mathbb{H}^{n-d}(S, \mathcal{K}_{n-d} \xrightarrow{d \log} \Omega_S^{n-d} \xrightarrow{d} \dots \to \Omega_S^{n-\left[\frac{d}{2}\right]-1})$$

defined for example by taking the Gersten-Quillen resolution of the $\mathcal K$ sheaves, and the Cousin resolution of the coherent sheaves of forms. In particular

Corollary 3.15.

$$f_*(c_{d+1}(E, \nabla_{X/S})) \in \mathbb{H}^1(S, \mathcal{K}_1 \xrightarrow{d \log} \Omega_S^1 \xrightarrow{d} \dots \to \Omega_S^{\left[\frac{d+1}{2}\right]})$$

is the isomorphism class of a rank one line bundle with a connection, which is flat for $d \geq 3$.

4. Universal construction via the Weil Algebra

In this section we give another construction of the relative classes, using unpublished work of A. Beilinson and D. Kazhdan [4]. These authors define a filtered differential graded algebra $\Omega_{X,E}^{\bullet} \supset F^{n}\Omega_{X,E}^{\bullet}$, such that $(\Omega_{X}^{\bullet}, \Omega_{X}^{\geq n}) \stackrel{\cong}{\to} (\Omega_{X,E}^{\bullet}, F^{n}\Omega_{X,E}^{\bullet})$, together with a Weil homomorphism $S^{n}(\mathcal{G}^{*})^{G} \stackrel{w^{n}(E)}{\longrightarrow} F^{n}\Omega_{X,E}^{\bullet}[2n]$. For us, the algebraic group is G = GL(r), and $S^{n}(\mathcal{G})^{G}$ are the G-invariant polynomials on the Lie algebra \mathcal{G} over k.

We begin by recalling the Beilinson-Kazhdan construction. Let $p: \mathbb{E} \to X$ be the GL(r)-torsor corresponding to a vector bundle E on X. Define

$$\Omega^1_{X,E} := (p_* \Omega^1_{\mathbb{E}})^{GL(r)}.$$

The Atiyah sequence can be reinterpreted as the exact sequence of GL(r)-invariant relative differentials pushed down to X

$$(4.1) 0 \to \Omega_X^1 \to \Omega_{X,E}^1 \xrightarrow{\pi} \mathcal{E}nd(E) \to 0.$$

Given an exact sequence of vector bundles $0 \to A \to B \xrightarrow{\pi} C \to 0$, the generalized Koszul sequence yields for any n

$$(4.2) \quad 0 \to \wedge^n A \to \wedge^n B \xrightarrow{\delta} \wedge^{n-1} B \otimes C \xrightarrow{\delta} \\ \wedge^{n-2} B \otimes Sym^2(C) \to \dots \to Sym^n(C) \to 0$$

with

$$\delta(b_1 \wedge \ldots \wedge b_p \otimes c_1 \cdot \ldots \cdot c_{n-p}) = \sum_i (-1)^{i-1} b_i \wedge \ldots \widehat{b_i} \ldots \wedge b_p \otimes \pi(b_i) \cdot c_1 \cdot \ldots \cdot c_{n-p}$$

Combining (4.1) and (4.2) we define a complex $\Omega_{X,E}^{\bullet}$ to be the simple complex associated to the first quadrant double complex:

$$(4.3) \qquad 0 \longrightarrow Sym^{2}(\mathcal{E}nd(E)) \longrightarrow \cdots$$

$$\uparrow \qquad \qquad \uparrow \qquad \qquad \uparrow$$

$$0 \longrightarrow \mathcal{E}nd(E) \xrightarrow{d'} \mathcal{E}nd(E) \otimes \Omega^{1}_{X,E} \longrightarrow \cdots$$

$$\uparrow \qquad \qquad \downarrow^{d''} \qquad \qquad \uparrow^{d''}$$

$$\mathcal{O}_{X} \xrightarrow{d'} \Omega^{1}_{X,E} \xrightarrow{d'} \qquad \wedge^{2}\Omega^{1}_{X,E} \longrightarrow \cdots$$

Grading by total degree gives

(4.4)
$$\Omega_{X,E}^{n} = \bigoplus_{\substack{a+b=n\\a>b}} \wedge^{a-b} \Omega_{X,E}^{1} \otimes Sym^{b}(\mathcal{E}nd(E)) := \bigoplus \Omega_{X,E}^{a,b}$$

The *n*-th column in (4.3) is a resolution of Ω_X^n , so defining

(4.5)
$$F^{p}\Omega_{X,E}^{n} := \bigoplus_{\substack{a+b=n\\a>p}} \Omega_{X,E}^{a,b}$$

we get a filtered quasi-isomorphism

$$(4.6) \qquad (\Omega_X^{\bullet}, \Omega_X^{\geq p}) \stackrel{\cong}{\to} (\Omega_{XE}^{\bullet}, F^p \Omega_{XE}^{\bullet}).$$

In addition, $\mathcal{E}nd(E)$ is a twisting of the Lie algebra \mathfrak{g} of GL(r), so there is a map

$$(4.7) w^n(E): Sym^n(\mathfrak{g}^{\vee})^{GL(r)} \to Sym^n(\mathcal{E}nd(E)) \to F^n\Omega_{X,E}^{\bullet}[2n].$$

Assume now we are in a relative situation, with $f: X \to S$ and $(E, \nabla_{X/S})$ as in section 2.

Lemma 4.1. The connection $\nabla_{X/S}$ determines a descent of the Atiyah extension (4.1) to an extension

$$(4.8) 0 \to f^*(\Omega_S^1) \to \Omega_\nabla^1 \to \mathcal{E}nd(E) \to 0.$$

I.e. the Atiyah extension comes from (4.8) by pushout $f^*\Omega^1_S \to \Omega^1_X$.

Proof. A connection defines an infinitesimal action of vector fields over X on E, i.e. a splitting of the Atiyah sequence (3.7). Thus, a relative connection leads to a diagram

$$(4.9) \qquad \begin{array}{cccc} \Omega_X^1 & \longrightarrow & \Omega_{X,E}^1 & \longrightarrow & \mathcal{E}nd(E) & \longrightarrow & 0 \\ & & & \downarrow & & & \parallel & \\ & \downarrow h & & & \parallel & \\ 0 & \longrightarrow & \Omega_{X/S}^1 & \longrightarrow & \Omega_{X/S,E}^1 & \stackrel{\stackrel{\sigma}{\longleftarrow}}{\longleftrightarrow} & \mathcal{E}nd(E) & \longrightarrow & 0 \end{array}$$

The descent comes by defining

(4.10)
$$\Omega_{\nabla}^{1} := \{ z \in \Omega_{X,E}^{1} \mid h(z) \in \text{im}(\sigma) \}.$$

Recall the complex \mathcal{F}^n defined in (2.1). Our next objective is to mimick the above Weil construction, replacing (4.1) by (4.8). The construction leads to complexes \mathcal{F}^n_{∇} and quasi-isomorphisms $\mathcal{F}^n \hookrightarrow \mathcal{F}^n_{\nabla}$ analogous to (4.6). Note by (4.10) that $\Omega^1_{\nabla} \subset \Omega^1_{X,E}$, so one may define $\wedge^a \Omega^1_{\nabla} \cdot \wedge^b \Omega^1_{X,E} \subset \wedge^{a+b} \Omega^1_{X,E}$.

Lemma 4.2. Recall $(\mathcal{F}^n)^j = f^*\Omega_S^{n-j} \cdot \Omega_X^{2j}$. There is a Koszul type resolution

$$(4.11) \quad 0 \to (\mathcal{F}^{n})^{j} \to \wedge^{n-j}\Omega^{1}_{\nabla} \cdot \wedge^{2j}\Omega^{1}_{X,E} \to \\ \left(\wedge^{n-j-1}\Omega^{1}_{\nabla} \cdot \wedge^{2j}\Omega^{1}_{X,E} \right) \otimes \mathcal{E}nd(E) \to \dots \\ \to \left(\wedge^{n-j-p}\Omega^{1}_{\nabla} \cdot \wedge^{2j}\Omega^{1}_{X,E} \right) \otimes Sym^{p}(\mathcal{E}nd(E)) \to \dots \\ \to Sym^{n+j}(\mathcal{E}nd(E)) \to 0$$

Proof. We start with the resolution

$$(4.12) \quad 0 \to \Omega_X^q \to \wedge^q \Omega_{X,E}^1 \to \wedge^{q-1} \Omega_{X,E}^1 \otimes \mathcal{E}nd(E) \to \dots$$
$$\to Sym^q(\mathcal{E}nd(E)) \to 0$$

which we filter

$$(4.13) fil^{i}(\Omega_{X}^{q}) = f^{*}\Omega_{S}^{i} \cdot \Omega_{X}^{q-i};$$

$$fil^{i}(\wedge^{q-k}\Omega_{X,E}^{1} \otimes Sym^{k}(\mathcal{E}nd(E))) =$$

$$= \begin{cases} (\wedge^{i-k}\Omega_{\nabla}^{1} \cdot \wedge^{q-i}\Omega_{X,E}^{1}) \otimes Sym^{k}(\mathcal{E}nd(E)) & i \geq k \\ 0 & i < k \end{cases}$$

This filtration is compatible with the differential, and (using $\Omega^1_{X,E}/\Omega^1_{\nabla} \cong \Omega^1_{X/S}$), we find

$$(4.14) \quad gr^{i} \left(\wedge^{q-k} \Omega^{1}_{X,E} \otimes Sym^{k}(\mathcal{E}nd(E)) \right)$$

$$= \begin{cases} \wedge^{i-k} \Omega^{1}_{\nabla} \otimes \Omega^{q-i}_{X/S} \otimes Sym^{k}(\mathcal{E}nd(E)) & i \geq k \\ 0 & i < k \end{cases}$$

The complex gr^i is obtained by tensoring the Koszul resolution

$$0 \to f^*\Omega^i_S \to \wedge^i \Omega^1_\nabla \to \wedge^{i-1}\Omega^1_\nabla \otimes \mathcal{E}nd(E) \to \dots$$

with $\Omega_{X/S}^q$ and is thus exact. It follows that fil^i is a resolution of $f^*\Omega_S^i \cdot \Omega_X^{q-i}$. Taking $i=n-j;\ q=n+j$, we obtain the desired resolution of $(\mathcal{F}^n)^j$.

Definition 4.3. The complex

$$(4.15) (\mathcal{F}_{\nabla}^{i})^{\bullet} = \bigoplus_{\substack{a+b=\bullet\\a\geq b}} (\mathcal{F}_{\nabla}^{i})^{a,b} \subset \bigoplus_{\substack{a+b=\bullet\\a\geq b}} \Omega_{X,E}^{a,b}$$

is defined by

$$(\mathcal{F}_{\nabla}^{i})^{a,b} = \begin{cases} \left(\wedge^{2i-a-b} \Omega_{\nabla}^{1} \cdot \wedge^{2a-2i} \Omega_{X,E}^{1} \right) \otimes Sym^{b}(\mathcal{E}nd(E)) & 2i \geq a+b; \ a \geq i \\ 0 & i > a \\ \Omega_{X,E}^{a,b} & a \geq i; \ 2i \leq a+b \end{cases}$$

The differentials are induced by the differentials on $\Omega_{X,E}^{\bullet}$.

Remark 4.4. The content of lemma (4.2) is that one has a resolution

$$0 \to \mathcal{F}^i[-i] \to (\mathcal{F}^i_{\nabla})^{i,0} \to (\mathcal{F}^i_{\nabla})^{i,1} \to \dots$$

with differential d".

Remark 4.5. The Beilinson-Kazhdan-Weil homomorphism $w_n(E)$, (4.7), takes values in $(\mathcal{F}^n_{\nabla})^{n,n} = \Omega^{n,n}_{X.E} = Sym^n(\mathcal{E}nd(E)) \subset F^n\Omega^{2n}_{X.E}$.

In particular, the complex

(4.17)
$$\operatorname{cone}\left(\mathcal{K}_n \oplus S^n(\mathcal{G}^*)^G[-n] \xrightarrow{d \log \oplus w_n(E)} F^n \Omega_{X,E}^{\bullet}[n]\right)[-1]$$

used in [9] to define the absolute differential characters is endowed with a map

$$(4.18) \quad \operatorname{cone}\left(\mathcal{K}_n \oplus S^n(\mathcal{G}^*)^G[-n] \xrightarrow{d \log \oplus w_n(E)} F^n \Omega_{X,E}^{\bullet}[n]\right)[-1]$$

$$\xrightarrow{\iota_{\nabla} = (1 \oplus w_n, 1)} \operatorname{cone}\left(\mathcal{K}_n \oplus \mathcal{F}_{\nabla}^n[n] \xrightarrow{d \log \oplus w_n(E)} F^n \Omega_{X,E}^{\bullet}[n]\right)[-1]$$

where the latter complex is quasi-isomorphic to $\mathcal{AD}_{X/S}^n$.

Let $X = \bigcup_i X_i$ be a Zariski covering trivializing E and let $[E]: X_{\bullet} \to BG_{\bullet}$ be the induced map to the simplicial classifying scheme BG_{\bullet} . We denote by E_{un} the universal bundle on BG_{\bullet} . Since

(4.19)

$$\mathbb{H}^{n}(BG_{\bullet}, \operatorname{cone}\left(\mathcal{K}_{n} \oplus S^{n}(\mathcal{G}^{*})^{G}[-n] \xrightarrow{d \log \oplus w_{n}(E_{\operatorname{un}})} F^{n}\Omega_{BG_{\bullet}, E_{\operatorname{un}}}^{\bullet}[n]\right)[-1])$$

$$= H^{n}(BG_{\bullet}, \mathcal{K}_{n})$$

(see [9]), one has a well defined universal class

$$(4.20)$$
 $c_{n,an} \in$

$$\mathbb{H}^n(BG_{\bullet},\operatorname{cone}\left(\mathcal{K}_n\oplus S^n(\mathcal{G}^*)^G[-n]\xrightarrow{d\log\oplus w_n(E_{\operatorname{un}})}F^n\Omega_{BG_{\bullet},E_{\operatorname{un}}}^{\bullet}[n]\right)[-1]).$$

One way to define $c_{n,an}$ is again via the splitting principle on BG_{\bullet} . Via the functoriality map

$$[E]^* : \operatorname{cone}\left(\mathcal{K}_n \oplus S^n(\mathcal{G}^*)^G[-n] \xrightarrow{d \log \oplus w_n(E_{\operatorname{un}})} F^n \Omega_{BG_{\bullet}, E_{\operatorname{un}}}^{\bullet}[n]\right)[-1]$$

$$\to R[E]_* \operatorname{cone}\left(\mathcal{K}_n \oplus S^n(\mathcal{G}^*)^G[-n] \xrightarrow{d \log \oplus w_n(E)} F^n \Omega_{X_{\bullet}, E}^{\bullet}[n]\right)[-1]$$

this defines a class

$$(4.21) \quad [E]^*(c_{n,\mathrm{un}}) \in$$

$$\mathbb{H}^n(X_{\bullet}, \mathrm{cone}\Big(\mathcal{K}_n \oplus S^n(\mathcal{G}^*)^G[-n] \xrightarrow{d \log \oplus w_n(E)} F^n\Omega^{\bullet}_{X_{\bullet},E}[n]\Big)[-1])$$

and via ι_{∇} , one obtains a class

Definition 4.6.

$$c'_n(E, \nabla_{X/S}) := \iota_{\nabla}([E]^*(c_{n,\mathrm{un}})) \in AD^n(X/S).$$

It remains to compare those classes to the classes $c_n(E, \nabla_{X/S})$ constructed in the previous section with the splitting principle. As in [9], theorem 3.26, one has to verify the Whitney formula (4). One proceeds as in (4) of loc. cit.

Proposition 4.7. One has

$$c_n(E, \nabla_{X/S}) = c'_n(E, \nabla_{X/S}) \in AD^i(X/S)$$

5. The image of c_2 in a family of curves

We now specialize the discussion to the case where $f: X \to S$ is a smooth family of curves. Let $(E, \nabla_{X/S})$ be a relative connection, to which one has assigned classes

(5.1)
$$c_2(E, \nabla_{X/S}), c_1(E, \nabla_{X/S})^2 \in AD^2(X/S).$$

One considers the trace map

(5.2)
$$f_*: AD^2(X/S) \to AD^1(S/k)$$

introduced in corollary 3.15. Since $AD^1(S/k)$ is the group of isomorphism classes of line bundles with connection, we have constructed in this way a connection on the line bundles

$$f_*c_2(E)$$
 and $f_*(c_1(E)^2)$.

We want to identify the curvature of the connection.

We consider the exact sequence of complexes on X

(5.3)
$$0 \to \left(f^* \Omega_S^2 \to f^* \Omega_S^2 \otimes \Omega_{X/S}^1 \right) [-1]$$
$$\to \left(\mathcal{K}_2 \xrightarrow{d \log} \Omega_X^2 \to \Omega_X^3 / f^* \Omega_S^3 \right) \to \mathcal{AD}_{X/S}^1 \to 0.$$

(Recall that f has dimension 1, thus $\Omega_X^3/f^*\Omega_S^3=f^*\Omega_S^2\otimes\Omega_{X/S}^1$.) Then given a class $\gamma\in AD^2(X/S)$, the connecting morphism δ of 5.3 defines a class

(5.4)
$$\delta(\gamma) \in \mathbb{H}^2(X, f^*\Omega_S^2 \to f^*\Omega_S^2 \otimes \Omega_{X/S}^1) \xrightarrow{f_*} H^0(S, \Omega_S^2 \otimes R^2 f_*(\Omega_{X/S}^{\bullet})) = H^0(S, \Omega_S^2).$$

Proposition 5.1. Let $f_*(\gamma) = (L, \nabla) \in AD^1(S/k)$, with curvature $\nabla^2 \in H^0(S, \Omega_S^2)$. Then one has

$$\nabla^2 = f_* \delta(\gamma).$$

Proof. Briefly, one has diagrams of complexes of sheaves on X

$$\begin{array}{cccc}
\mathcal{K}_{2,X} & = & & \mathcal{K}_{2,X} \\
\downarrow & & \downarrow & \downarrow \\
\downarrow & & \downarrow & \downarrow \\
f^*\Omega_S^2 & \longrightarrow & \Omega_X^2 & \longrightarrow & \Omega_S^1 \otimes \Omega_{X/S}^1 \\
\downarrow & & \downarrow & \downarrow \\
f^*\Omega_S^2 \otimes \Omega_{X/S}^1 & \stackrel{\cong}{\longrightarrow} & \Omega_X^3/f^*\Omega_S^3,
\end{array}$$

and on S

$$\begin{array}{cccc}
\mathcal{O}_{S}^{\times} & = & \mathcal{O}_{S}^{\times} \\
\downarrow & & \downarrow \\
\Omega_{S}^{1} & = & \Omega_{S}^{1} \\
\downarrow & & \downarrow \\
\Omega_{S}^{2} & = & \Omega_{S}^{2}.
\end{array}$$
(5.6)

Using Gersten resolutions and Cousin complexes, one defines a trace map

$$\mathbb{R}f_*(\text{diagram }(5.5))[1] \to \text{diagram }(5.6),$$

which yields a commutative diagram

$$AD^{2}(X/S) \xrightarrow{\delta} \mathbb{H}^{2}(X, f^{*}\Omega_{S}^{2} \to f^{*}\Omega_{S}^{2} \otimes \Omega_{X/S}^{1})$$

$$\downarrow^{f_{*}} \qquad \qquad \downarrow^{f_{*}}$$

$$AD^{1}(S) \xrightarrow{d} \qquad \Gamma(S, \Omega_{S}^{2}).$$

5.1. Beilinson's gerbe construction. Now let us explain the construction (see [5]), which is the whole motivation for this note: A. Beilinson constructs such a connection on $f_*c_2(E)$ (and more generally on the image of classes of G-bundles of weight 2) in the analytic Deligne cohomology. We want to compare our construction to the one in [5]. We should emphasize that this comparison lacks precision in two points. First, we give our own interpretation of Beilinson's construction in terms of a specific cocyle, and then we do not give the details of how this precise cocyle yields the same class as ours.

Beilinson uses gerbes as follows. Consider the Atiyah torsor of E. Given a local trivialization of E on $X = \bigcup_i X_i$, this defines transition functions $g_{ij} \in \mathcal{C}^1(\mathcal{A}ut(E_X))$, and $dg_{ij}g_{ij}^{-1} \in \mathcal{C}^1(\Omega_X^1 \otimes \mathcal{E}nd(E_X))$ is a cocyle for the Atiyah torsor. Then the Ω_X^2 -gerbe $c_1^2 - 2c_2$ is represented by the cocyle $\operatorname{Tr}(dg_{ij}g_{ij}^{-1}dg_{jk}g_{jk}^{-1}) \in \mathcal{C}^2(\Omega_X^2)$ whereas the Ω_X^2 -gerbe c_1^2 is represented by the cocyle $(\operatorname{Tr}dg_{ij}g_{ij}^{-1})^2 \in \Omega_{X_{ijk}}^2$. Let us consider the Cech resolution $(j_I: X_I \hookrightarrow X)$

$$(5.7) \qquad \Omega_X^2 \to \prod_i j_{i*} \Omega_{X_i}^2 \xrightarrow{d_0} \prod_{i < j} j_{ij*} \Omega_{X_{ij}}^2 \xrightarrow{d_1} \prod_{i < j < k} j_{ijk*} \Omega_{X_{ijk}}^2 \xrightarrow{d_2} \dots$$

Then a cocyle $c \in H^0(X, \prod_{i < j < k} j_{ijk*} \Omega^2_{X_{ijk}})$ defines a gerbe, with objects a on $U \subset X$ given by $a \in d_1^{-1}(c|_U)$ and morphisms

$$Hom(a, b) = d_0^{-1}(b - a).$$

We consider the image gerbe $c' \in H^0(X, \prod_{i < j < k} j_{ijk*}(f^*\Omega_S^1 \otimes \Omega_{X/S}^1)|_{X_{ijk}})$. In particular, for X_i the complement of étale multisections on a sufficiently small affine open sets $S_{\alpha} \subset S$, the residue $(k_I : S_I \hookrightarrow S)$

$$\operatorname{res}(c') \in H^0(S, \prod_{\alpha < \beta} k_{\alpha\beta} \Omega^1_{S_{\alpha\beta}})$$

represents the image torsor

$$(5.8) 0 \to \Omega_S^1 \to d_0^{-1}(\operatorname{res}(c')) \in \prod_{\alpha} k_{\alpha*} \Omega_{S_{\alpha}}^1 \to \operatorname{res}(c') \to 0$$

where

(5.9)
$$\Omega_S^1 \to \prod_{\alpha} k_{\alpha *} \Omega_{S_{\alpha}}^1 \xrightarrow{d_0} \prod_{\alpha < \beta} k_{\alpha \beta *} \Omega_{S_{\alpha \beta}}^1 \xrightarrow{d_1} \dots$$

is the Cech resolution of Ω_S^1 .

Assume now that c' is a coboundary, and choose

(5.10)
$$\gamma \in H^0(X, \prod_{i < j} j_{ij*}(f^*\Omega_S^1 \otimes \Omega_{X/S}^1)|_{X_{ij}})$$

with $d_1(\gamma) = c$. So γ is a trivialization of the gerbe c' and $\operatorname{res}(\gamma) \in d_0^{-1}(\operatorname{res}(c'))$ is a trivialization of the torsor $\operatorname{res}(c')$. In particular, for c equal, as above, $c_1^2 - 2c_2$ or c_1^2 , the torsor $\operatorname{res}(c')$ is the Atiyah torsor of the line bundle $f_*c \in \operatorname{Pic}(S)$. Thus the choice of γ defines a splitting $\operatorname{res}(\gamma)$ of the Atiyah torsor, or equivelently, it defines a connection on the line bundle $f_*(c)$.

It remains to see why the choice of a relative connection $\nabla_{X/S} : E \to \Omega^1_{X/S} \otimes E$ defines a γ . The gauge transformation equation reads

(5.11)
$$dg_{ij}g_{ij}^{-1} = A_i - g_{ij}A_jg_{ij}^{-1} + \rho_{ij}$$

where $A_i \in H^0(X_i, \Omega^1_X \otimes \mathcal{E}nd(E))$ is a lifting to global forms of the local relative forms of the connection, and $\rho_{ij} \in H^0(X_{ij}, f^*(\Omega^1_S) \otimes \Omega^1_{X/S} \otimes \mathcal{E}nd(E))$ is a cocyle. This defines in natural way

(5.12)
$$\operatorname{Tr}(dg_{ij}g_{ij}^{-1}dg_{jk}g_{jk}^{-1}) = \delta(\eta_{ij})$$

(5.13)
$$\operatorname{Tr}(dg_{ij}g_{ij}^{-1})\operatorname{Tr}(dg_{jk}g_{jk}^{-1}) = \delta(\xi_{ij})$$

with

$$(5.14) \quad \eta_{ij} = \operatorname{Tr}(A \cup \delta A + [A, \rho])_{ij}$$

$$= \operatorname{Tr}(A_i \delta(A)_{ij} - \rho_{ij} A_j + A_i \rho_{ij}) \in H^0(X_{ij}, f^* \Omega^1_S \otimes \Omega^1_{X/S})$$

$$\xi_{ij} = (a_i \delta(a)_{ij} - \kappa_{ij} a_j + a_i \kappa_{ij}) \in H^0(X_{ij}, f^* \Omega^1_S \otimes \Omega^1_{X/S})$$

for
$$a = \text{Tr}(A), \kappa = \text{Tr}(\rho)$$
.

We claim now that this construction leads to the same class as ours. It is obvious for $f_*(c_1^2)$ because of the product defined in section 3. As for $f_*(c_1^2 - 2c_2)$, we can exhibit the AD class as follows. The relative AD-complex in this case is

$$\mathcal{K}_2 \to \Omega_X^2/f^*\Omega_S^2$$
.

To write down a cocycle, we fix a flag in E, so the transition matrices g_{ij} are upper triangular:

$$g_{ij} = \begin{pmatrix} \ell_{ij}^{(1)} & \dots & & \\ 0 & \ell_{ij}^{(2)} & \dots & \\ \vdots & \vdots & & \\ 0 & 0 & \dots & \ell_{ij}^{(n)} \end{pmatrix},$$

and we take $a_{ijk} := \sum_{p} \{\ell_{ij}^{(p)}, \ell_{jk}^{(p)}\} \in \mathcal{K}_2(U_{ijk})$. Clearly,

$$d\log(a_{ijk}) = \text{Tr}(dg_{ij}g_{ij}^{-1}dg_{jk}g_{jk}^{-1}).$$

The claim is now that the AD-class is represented by the 2-hypercocycle

$$(5.15) (a_{ijk}, \eta_{ij}) \in \left(\mathcal{C}^2(\mathcal{K}_2) \times \mathcal{C}^1(f^*\Omega_S^1 \otimes \Omega_{X/S}^1) \right)$$

where η_{ij} is the same cochain (5.14) which trivializes the gerbe c' in Beilinson's construction.

To see this, let us introduce the complete flag variety $q:Q\to X$, such that q^*E carries a tautological complete flag. The construction of Ω (see 3.5) carries over to the complete flag variety, and the connection $q^*\nabla$ on q^*E induces a Ω^1_Q/Ω connection, which then stabilizes the flag. The cohomology group in which the class considered is living is $AD^2_{\tau}(Q)$, and since $\Omega^3_Q/\Omega \cdot \Omega^2_Q = q^*\Omega^3_{X/S} = 0$ for a family of curves, one has

$$AD_{\tau}^{2}(Q) = \mathbb{H}^{2}(Q, \mathcal{K}_{2} \xrightarrow{d \log} \Omega_{Q}^{2}/\wedge^{2}\Omega).$$

The equation of the connection becomes

(5.16)
$$dh_{ij}h_{ij}^{-1} = B_i - h_{ij}B_jh_{ij}^{-1} + \sigma_{ij}$$

where h_{ij} is an upper triangular matrix of functions, B_i is an upper triangular matrix of forms in Ω^1_Q , σ_{ij} is an upper triangular matrix of forms in Ω . In particular, since h, B and σ are upper triangular, the rule for the product implies that $q^*(c_1^2 - 2c_2)$ is

$$(5.17) (b_{ijk}, \operatorname{Tr}(B \cup \delta(B) + [B, \sigma]) \in \Big(\mathcal{C}^2(\mathcal{K}_2) \times \mathcal{C}^1(\Omega_Q^2/\wedge^2\Omega)\Big),$$

where $b_{ijk} = \sum_{p} \{\lambda_{ij}^{(p)}, \lambda_{jk}^{(p)}\}$ and where the $\lambda_{ij}^{(p)}$ are the invertible diagonal entries of h_{ij} .

To finish the argument, one just needs that (5.17) is compatible with gauge transformation.

Remark 5.2. The construction of a connection on $f_*c_2(E)$ is used in [11], Theorem IV.3 in Faltings' construction of Hitchin's connection, when f is the projection $C \times M_{\nabla} \to M_{\nabla}$ to the moduli (or stack) of connections on a fixed curve C, and E is the universal bundle. In

particular, it identifies M_{∇} with the Atiyah torsor of $f_*c_2(E_0)$, where $f_0: C \times M \to M$ is the projection to the moduli of stable bundles (see Lemma IV.4 of [11]), and E_0 is the universal bundle on $C \times M$.

5.2. The trace complex. Let $f: X \to S$ be a smooth family of curves, and let E be a vector bundle on X with a relative connection $\nabla_{X/S}$. Under what conditions can one construct a connection on det $Rf_*(E)$? Intuitively, the Riemann Roch theorem gives $[\det Rf_*(E)] \in Pic(S)$ as a linear combination of

$$f_*c_2(E)$$
, $f_*(c_1(E)^2)$, $f_*(c_1(E) \cdot K_{X/S})$, and $f_*(K_{X/S}^2)$.

We have shown how to put connections on $f_*c_2(E)$ and $f_*(c_1(E)^2)$. Suppose in addition that $X = Y \times S \to S$ is a product family so $K_{X/S}^2$ is trivial, and assume further that we have an absolute connection D on $\det E$ (e.g. $\det E = \mathcal{O}_X$). Intuitively again, D gives a connection on $f_*(c_1(E) \cdot K_{X/S})$. We sketch how in this situation one may use the trace complex of Beilinson and Schechtman [3] (see also [10]) to define a connection on $\det Rf_*(E)$.

Associated to the family X/S and the bundle E one has the Atiyah algebra

$$(5.18) 0 \to \mathcal{E}nd(E) \to \mathcal{A}_{E,X} \to T_X \to 0.$$

One has a filtration $T_{X/S} \subset T_f \subset T_X$, where T_f consists of vector fields whose image falls in $f^{-1}T_S \subset f^*T_S$. By pullback one defines subalgebras

(5.19)
$$\mathcal{E}nd(E) \subset \mathcal{A}_{E,X/S} \subset \mathcal{A}_{E,f} \subset \mathcal{A}_{E,X}.$$

Pushing out by the trace map yields that corresponding Atiyah algebra for $\det E$

Thus we get

$$(5.21) 0 \to \mathcal{E}nd^0(E) \to \mathcal{A}_{E,?} \to \mathcal{A}_{\det E,?} \to 0.$$

The trace complex

$$(5.22) t^r \mathcal{A}^{\bullet} = \{ \mathcal{A}^{-2} \to \mathcal{A}^{-1} \to \mathcal{A}^0 \}$$

fits into an exact sequence of complexes

$$(5.23) 0 \to \omega_{X/S}[2] \to {}^{tr}\mathcal{A}^{\bullet} \to \{\mathcal{A}_{E,X/S} \to \mathcal{A}_{E,f}\} \to 0$$

One has

(5.24)
$$\mathcal{A}^{-2} = \mathcal{O}_X; \quad \mathcal{A}^0 = \mathcal{A}_{E,X/S}$$

and \mathcal{A}^{-1} is an extension

$$(5.25) 0 \longrightarrow \omega_{X/S} \longrightarrow \mathcal{A}^{-1} \longrightarrow \mathcal{A}_{E,X/S} \longrightarrow 0.$$

An absolute connection on $\det E$ induces compatible splittings

(5.26)
$$\begin{array}{cccc}
\mathcal{A}_{\det E,X/S} & \stackrel{\leftarrow}{\twoheadrightarrow} & T_{X/S} \\
\downarrow & & \downarrow \\
\mathcal{A}_{\det E,f} & \stackrel{\leftarrow}{\twoheadrightarrow} & T_f.
\end{array}$$

Assuming $X = Y \times S$ (or, more generally, that we are given a connection for the map $f: X \to S$) we get a decomposition

$$(5.27) T_f = T_{X/S} \oplus f^{-1}T_S$$

Combining (5.26) and (5.27) yields an injective quasiisomorphism of complexes

$$(5.28) \{0 \to f^{-1}T_S\} \hookrightarrow \{\mathcal{A}_{\det E, X/S} \to \mathcal{A}_{\det E, f}\}.$$

We can pull back the sequences (5.21) along this map to get a quasiisomorphic subcomplex (defining $\mathcal{B}_{\det E,f}$)

(5.29)
$$\{\mathcal{E}nd(E)^0 \to \mathcal{B}_{\det E,f}\} \hookrightarrow \{\mathcal{A}_{E,X/S} \to \mathcal{A}_{E,f}\}$$

Finally, we can pull back (5.23) along this map, defining a quasiisomorphic subcomplex ${}^{tr}\mathcal{B}^{\bullet} \subset {}^{tr}\mathcal{A}^{\bullet}$ with

(5.30)
$$\mathcal{B}^{-2} = \mathcal{A}^{-2} = \mathcal{O}_X; \quad \mathcal{B}^0 = \mathcal{B}_{\det E, f}$$

$$(5.31) 0 \to \omega_{X/S} \to \mathcal{B}^{-1} \to \mathcal{E}nd(E)^0 \to 0$$

The sequence (5.31) is the trace-free part of the dual of the relative Atiyah sequence, so it is split by the given relative connection on E. there results a map of complexes

$$(5.32) t^r \mathcal{B}^{\bullet} \to \omega^{\bullet}[2]$$

splitting the sequence (5.23) upto quasiisomorphism. Applying $R^0 f_*$ yields a splitting for the exact sequence

$$(5.33) 0 \to \mathcal{O}_S \cong R^2 f_* \omega^{\bullet} \to R^0 f_*^{tr} \mathcal{B}^{\bullet} \to T_S \to 0.$$

But, by [3], this is the Atiyah sequence for $\det Rf_*(E)$, and a splitting defines a connection on that line bundle. In summary, we have shown

Theorem 5.3. Let $f: X \to S$ be a trivial family of curves. Let E be a bundle on X, together with a global connection $D: \det(E) \to \Omega^1_X \otimes \det(E)$ and a relative one $\nabla_{X/S}: E \to \Omega^1_{X/S} \otimes E$. Then D and $\nabla_{X/S}$ define a subcomplex ${}^{tr}\mathcal{B}^{\bullet} \subset {}^{tr}\!\mathcal{A}^{\bullet}$ of the trace complex, quasiisomorphic to it, and a splitting ${}^{tr}\mathcal{B}^{\bullet} \to \omega[2]$. In particular, these data induce a connection on the determinant of $Rf_*(E)$.

We admit to not having worked out the precise relation between this connection and the connections on $f_*(c_2)$ and $f_*(c_1^2)$ described earlier.

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Dept. of Mathematics, University of Chicago, Chicago, IL 60637, USA

E-mail address: bloch@math.uchicago.edu

MATHEMATIK, UNIVERSITÄT ESSEN, FB6, MATHEMATIK, 45117 ESSEN, GERMANY

E-mail address: esnault@uni-essen.de